

Blackstone / GSO Loan Financing Limited

DATA PRIVACY NOTICE FOR INVESTORS

1. INTRODUCTION

- 1.1 This Privacy Notice sets out how personal information is collected, processed and disclosed in connection with Blackstone / GSO Loan Financing Limited (the "**Fund**").
- 1.2 As a result of a proposed or actual investment in the Fund either made by you or a firm or entity with which you have a connection (the "**Applicant**"), your personal information and/or the personal information of other relevant individuals of the Applicant (such as directors, officers, employees or beneficial owners) may be provided to the Fund (where such relevant individuals and the Applicant shall together be referred to as the "**Relevant Individual**").
- 1.3 The Fund will act as data controller in respect of its use of personal information provided by a Relevant Individual. In this Privacy Notice, "the Fund", "**we**" or "**us**" refers to the Fund and "**you**" refers to the Relevant Individual.
- 1.4 Service providers appointed by the Fund from time to time, as identified on the Fund's website (<https://www.blackstone.com/the-firm/asset-management/registered-funds#c=blackstone-gso-loan-financing-limited>), may also process personal information relating to Relevant Individuals when conducting administrative and other activities relating to the Fund. Where they are required to do so in order to comply with their own legal and regulatory obligations, they will do so as data controllers in their own right.
- 1.5 This Privacy Notice sets out how the personal information of Relevant Individuals is collected, processed and disclosed in connection with the Applicant's investment in the Fund.

2. THE PERSONAL INFORMATION WE PROCESS

- 2.1 We may collect various types of personal data about you, including:
 - 2.1.1 identification information (which may include your name, ID card and passport numbers, nationality, place and date of birth, gender, photograph and/or IP address and personal data relating to claims, court cases and convictions, politically exposed person (PEP) status, personal data available in the public domain and such other information as may be necessary for the Company and its service providers to perform their functions and comply with their CDD processes and discharge AML/CFT obligations);
 - 2.1.2 tax status information (which may include your tax residency, tax ID and/or your tax status);
 - 2.1.3 contact information (which may include postal address and e-mail address and your home and mobile telephone numbers);

- 2.1.4 about your family relationships (which may include your marital status, the identity of your spouse and the number of children that you have);
 - 2.1.5 professional and employment information (which may include your level of education and professional qualifications, your employment, employer's name and details of directorships and other offices which you may hold); and
 - 2.1.6 financial information, sources of wealth and your assets (which may include details of your, shareholdings and your beneficial interest in assets, your bank details and your credit history).
- 2.2 We may also collect and process personal data regarding people connected to you, either by way of professional (or other) association or by way of family relationship.

3. **WHERE WE OBTAIN YOUR PERSONAL INFORMATION:**

3.1 We collect personal information from the following sources:

- 3.1.1 personal information which data subjects provide to us or which is given to us by the Applicant, including but not limited to:
 - (a) information set out in offering documents;
 - (b) such other forms and documents as we may request that are completed in relation to the administration/management of any investment in the Fund;
 - (c) information gathered through client due diligence carried out as part of our compliance with regulatory requirements; or
 - (d) any personal information provided by way of correspondence with us by phone, e-mail or otherwise;
- 3.1.2 personal information we receive from third party sources, such as:
 - (a) entities in which you or someone connected to you has an interest;
 - (b) your legal and/or financial advisors;
 - (c) other financial institutions who hold and process your personal information; and
 - (d) credit reference agencies and financial crime databases for the purposes of complying with our regulatory requirements; and
- 3.1.3 personal information received in the course of dealing with advisors, regulators, official authorities and service providers by whom you are employed or engaged or for whom you act.

4. **WHY WE COLLECT YOUR PERSONAL INFORMATION:**

Lawful grounds for processing:

- 4.1 We may hold and process your personal information on the following lawful grounds, namely where:
- 4.1.1 the processing is necessary for our legitimate interests, provided your interests and fundamental rights do not override those interests;
 - 4.1.2 the processing is necessary to comply with our respective contractual duties to the Applicant under the terms of offering documents and any other agreements;
 - 4.1.3 the processing is necessary to comply with our legal and regulatory obligations;
 - 4.1.4 (on rare occasions) where we have obtained consent to processing personal information for a specific purpose; and
 - 4.1.5 on rare occasions, where it is needed in the public interest.

Purposes of processing

- 4.2 Pursuant to paragraph 4.1 above, personal information may be processed for the purposes set out below ("**Purposes**"). The Purposes based on our legitimate interests are set out in paragraphs 4.2.1 to 4.2.3 inclusive):
- 4.2.1 facilitating the administration of the Fund and its service providers;
 - 4.2.2 communicating with data subjects as necessary in connection with the Applicant's investment in the Fund;
 - 4.2.3 monitoring and recording telephone and electronic communications and transactions:
 - (a) for quality, business analysis, training and related purposes in order to improve service delivery; and
 - (b) for investigation and fraud prevention purposes, for crime detection, prevention, investigation and prosecution of any unlawful act (or omission to act);
 - 4.2.4 to comply with the legal, regulatory, reporting and/or financial obligations of the Fund or any legal or regulatory obligations of any service provider or functionary (or his/her employer) of the Fund;
 - 4.2.5 to enforce or defend the rights of the Fund, or those of third parties who provide services to the Fund;

- 4.2.6 collecting, processing, transferring and storing customer due diligence, source of funds information and verification data under applicable anti-money laundering and terrorist financing laws and regulations; and
- 4.2.7 liaising with or reporting to any regulatory authority (including tax authorities) with whom we are either required to cooperate or report to, or with whom we decide or deem it is appropriate to cooperate in relation to an investment, and which has jurisdiction over the Fund or its investments.

5. SHARING PERSONAL INFORMATION

- 5.1 We may share your personal information with our group companies and third parties, including administrators, registrars, banks, financial institutions or other third party lenders, IT service providers, auditors and legal professionals to facilitate the running of the Fund.
- 5.2 Where we share your information with a third party, we require the recipients of that personal information to put in place adequate measures to protect it.
- 5.3 Where we transfer your personal information outside the European Economic Area, we will ensure that it is protected and transferred in a manner consistent with legal requirements applicable to the information. This can be done in a number of different ways, for instance:
 - 5.3.1 the country to which we send the personal information may be approved by the European Commission as providing adequate protection for personal data;
 - 5.3.2 by utilizing a contract based on “model contractual clauses” approved by the European Commission; or
 - 5.3.3 where the recipient is located in the US, it may belong to the EU-US Privacy Shield scheme.
- 5.4 In other circumstances, the law may permit us to otherwise transfer your personal information outside the EEA.
- 5.5 If you would like further information about the safeguards we have in place to protect your personal information, please contact Company Secretary, Blackstone / GSO Loan Financing Limited, IFC 1, The Esplanade, St Helier, Jersey, JE1 4BP. Email: notice@bnpparibas.com

6. RETENTION OF PERSONAL INFORMATION

- 6.1 Your personal information will be retained for as long as required:
 - 6.1.1 for the Purposes for which the personal information was collected;
 - 6.1.2 in order to establish or defend legal rights or obligations or to satisfy any reporting or accounting obligations; and/or

6.1.3 as required by data protection laws and any other applicable laws or regulatory requirements.

7. ACCESS TO AND CONTROL OF PERSONAL INFORMATION

7.1 You have the following rights in respect of the personal information about you that we process:

7.1.1 the right to access and port personal information;

7.1.2 the right to rectify personal information;

7.1.3 the right to restrict the use of personal information;

7.1.4 the right to request that personal information is erased; and

7.1.5 the right to object to processing of personal information;

7.2 You also have the right to lodge a complaint about the processing of your personal information either with us, with the Office of the Data Protection Commissioner in Jersey (www.oicjersey.org) or the data protection authority in the EU member state of your usual residence or place of work.

7.3 Where we have relied on consent to process your personal information, you have the right to withdraw consent at any time.

7.4 If you wish to exercise any of the rights set out in this paragraph 7, please contact the Company Secretary.

8. INACCURATE OR AMENDED INFORMATION

Please let us know as soon as possible if any of your personal information changes (including your correspondence details). Failure to provide accurate information or to update information when it changes may have a detrimental impact upon the Applicant's investment in the Fund, including the processing of any subscription or redemption instructions or the suspension of the Applicant's account. Failure to provide information where it is required for anti-money laundering or other legal requirements means that we may not be able to accept the Applicant as an investor in the Fund.

9. QUESTIONS

9.1 If you have any questions about this Privacy Notice or how we handle your personal information (e.g. our retention procedures or the security measures we have in place), or if you would like to make a complaint, please contact the Administrator.

9.2 This Privacy Notice is up-to-date as at 25 May 2018. If it is updated, we will provide the Applicant with the updated version and require them to bring it to your attention.